

integrity





excellence



Baldrige National Quality Program

National Institute of Standards and Technology • Technology Administration • Department of Commerce

November 2003

The Baldrige National Quality Program sponsors the Malcolm Baldrige National Quality Award that recognizes U.S. organizations for performance excellence. The high standards met by Award recipients allow them to serve as role models for the nation.

In support of the national program, experts from around the United States give their time generously and enthusiastically as members of the Board of Examiners. Board members make major contributions, both to the national effort to recognize and promote performance excellence, and to the organizations that support their participation.

The Baldrige National Quality Program seeks to create a board of experts capable of evaluating organizations that compete for the Award. The Award Program includes five eligibility categories: manufacturing, service, small business, health care, and education. Category coverage and balance are important factors in selecting Board members. We seek to ensure broad representation from many industries, companies, and organizations including those from for-profit, not-for-profit, and public sectors. We are particularly interested in attracting applicants with finance, hospitality, transportation, utilities, not-for-profit, or senior management experience.

Prospective Board members (including those who have previously served on the Board) must apply each year. A number of the Board is replaced each year to expand the United States' base of knowledgeable, trained Examiners. This policy is necessary to fulfill the basic aims of the Baldrige National Quality Program, which are to allow participation by as many experts as possible and to provide needed sector balance. To better manage the anticipated increase in the number of applications, we plan to increase the size of the Board this year.

If you believe you are qualified, are willing to make a significant commitment of time and energy, and want to benefit from the networking and educational experience of being an Examiner, we encourage you to apply to serve on the 2004 Board of Examiners.

Sincerely,

Harry S. Hertz

Hay S. Hors

Director, Baldrige National Quality Program

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Baldrige National Quality Program Criteria for Performance Excellence

Criteria for Performance Excellence

- 1. Leadership
- 2. Strategic Planning
- 3. Customer and Market Focus
- 4. Measurement, Analysis, and Knowledge Management
- 5. Human Resource Focus
- 6. Process Management
- 7. Business Results

Education Criteria for Performance Excellence

- 1. Leadership
- 2. Strategic Planning
- 3. Student, Stakeholder, and Market Focus
- 4. Measurement, Analysis, and Knowledge Management
- 5. Faculty and Staff Focus
- 6. Process Management
- 7. Organizational Performance Results

Health Care Criteria for Performance Excellence

- 1. Leadership
- 2. Strategic Planning
- 3. Focus on Patients, Other Customers, and Markets
- 4. Measurement, Analysis, and Knowledge Management
- 5. Staff Focus
- 6. Process Management
- 7. Organizational Performance Results

(It is recommended that you read the entire package before completing the application.)

Malcolm Baldrige National Quality Award (MBNQA)

The Malcolm Baldrige National Quality Award, created by public law in 1987, is the highest level of national recognition for performance excellence that a U.S. organization can receive.

The Award was established to promote the awareness of performance excellence as an increasingly important element in competitiveness. Not only does it recognize excellent organizations, the Award also aims to increase the understanding of the requirements for performance excellence. To accomplish this, the Award promotes information sharing on successful performance strategies and the benefits derived from implementation of these strategies.

The Department of Commerce is responsible for the Baldrige National Quality Program and the Award. The National Institute of Standards and Technology (NIST), an agency of the Department's Technology Administration, manages the Baldrige Program.

Award Eligibility

Any for-profit business headquartered in the United States or its territories, including U.S. subunits of foreign companies, may apply for the Award in the following categories:

- (1) manufacturing
- (2) service
- (3) small business

For-profit and not-for-profit organizations in the United States or its territories may apply for the Award in the following categories:

- (4) education (elementary and secondary schools and school districts; colleges, university systems, schools or colleges within universities; professional schools; and technical schools)
- (5) health care (hospitals, health maintenance organizations, long-term care facilities, health care practitioner offices, home health agencies, and dialysis and ambulatory surgery centers)

Criteria for Performance Excellence

The Criteria for Performance Excellence fall into seven Categories. The terms used to describe these Categories differ slightly among the three Criteria to better reflect the business, education, and health care environments, respectively. Award applicants must address a set of examination Items within each of these Categories. Heavy emphasis is placed on organizational performance and improvement demonstrated through quantitative data furnished by applicants. For simplicity's sake, the seven Categories given below are taken directly from the Business Criteria. This set of Categories is used throughout this document. To identify the specific set of Categories for all three Criteria, please refer to the sector-specific lists following the Table of Contents.

- 1. Leadership
- 2. Strategic Planning
- 3. Customer and Market Focus
- 4. Measurement, Analysis, and Knowledge Management
- 5. Human Resource Focus
- 6. Process Management
- 7. Business Results

Award Examination Process

Each written Award application is evaluated by members of the Board of Examiners. High-scoring applicants are selected for site visits. A Panel of Judges recommends Award recipients to the Secretary of Commerce from among the site-visited applicants. All Award applicants receive a written feedback summary of strengths and opportunities for improvement.

Confidentiality

All Award and Examiner applications are confidential. Information on the successful strategies of Award recipients is released only after approval is received from the recipients.

Address and Information Requests

You may either apply online at www.baldrige.nist.gov or send your completed application and sealed references to:

National Institute of Standards and Technology Baldrige National Quality Program

Administration Building, Room A600 100 Bureau Drive, Stop 1020 Gaithersburg, MD 20899-1020

Telephone: (301) 975-2036; Fax: (301) 948-3716

E-mail: nqp@nist.gov

Web site: www.baldrige.nist.gov

Background

The Board of Examiners comprises leading U.S. business, health care, and education experts selected from industry, professional, and trade organizations; government agencies; other not-for-profit groups; and the ranks of the retired. Examiners must take part in a comprehensive preparation course covering the Criteria for Performance Excellence, the scoring system, and the evaluation process. Those selected to be Examiners must have time available during the period of April to December 2004 to attend the preparation course in Gaithersburg, Maryland, and to conduct reviews.

The schedule for the Examiner application process is:

Examiner applications postmarked on or before

January 5, 2004

Notification letter sent by

March 26, 2004

Examiner Application Process

Each fall, applications are solicited from individuals to serve as Examiners for the following year. Examiners who served on the Board in previous years are required to reapply if they wish to serve again in 2004. Each year, a number of the Examiners are replaced to provide opportunities for participation by others. Due to the large number of applications, highly qualified applicants may not be selected in a given year in order to balance the Board with Examiners from different sectors and different work experiences. Thus, past applicants who have not been selected are encouraged to apply again.

Appointments

Examiners are appointed by the Director of NIST to serve for one Award cycle. Appointments are subject to the Conditions of Involvement described on pages 4 and 5 and the Code of Ethical Standards described on page 6.

Notification Process

Notification letters will be mailed to all Examiner candidates by March 26, 2004, indicating their selection status. Examiner selectees must return the confirmation notice immediately upon receipt. Detailed instructions for the return process will be included.

Training

Training for those selected to be Examiners will be held at NIST in Gaithersburg, Maryland. The Examiner Preparation Course schedule is listed on page 15. Applicants will be able to indicate their preferred dates when they are notified of their selection.

Selection Process

The Baldrige National Quality Program seeks to establish a board of experts capable of evaluating organizations eligible for the Malcolm Baldrige National Quality Award and willing to serve as representatives of the Program. Sector coverage and balance are important selection considerations. Board members are selected on the basis of their personal qualifications and are not considered representatives of their employers or any other organization. Efforts are made to ensure broad representation and to minimize disproportionate involvement from one industry, sector, or single organization.

Selection Factors for the MBNQA Board of Examiners

Applications for the Board of Examiners are evaluated on the basis of

(1) **Criteria Category Expertise** – refers to having experience in the seven Criteria Categories. Current or previous positions may demonstrate expertise in several categories. For example, employment history may demonstrate supervision of a large enough number of people to understand Human Resource Focus (Category 5), significant production leadership experience that enables an understanding of Process Management (Category 6), or marketing expertise that facilitates an understanding of Customer and Market Focus (Category 3).

- (2) **Breadth and Depth of Experience** refers to the extent an applicant has in-depth experience in several industrial or service sectors, or health care or educational settings. (See the North American Industrial Classification System [NAICS] Codes on the next-to-last page of this document.) Given the conflict of interest restrictions in assigning Examiners to evaluate applications, the Award Program must give preference to candidates with in-depth knowledge of more than one industry.
- (3) **Specialized Expertise** refers to knowledge or skill in an area of high need for the Program such as experience in small business operations, senior management, statistical methods, health care, education, and financial results. Your expertise may be demonstrated through formal studies and/ or accomplishments, which may include relevant job experience, papers or articles written, research conducted, and degrees or certificates earned.
- (4) **Examiner Skills** refers to the skills that have proven useful to Examiners. These skills include leadership ability, analytical ability (particularly as it applies to evaluating an organization), the ability to communicate both orally and in writing, and interpersonal skills that enable Examiners to serve as effective team members.

Duties of Examiners

Examiners review, write an analysis of, and score written applications and prepare final scorebooks that are the foundation for written feedback reports to applicants. They may also participate in a consensus review process and a site visit. In addition to their application review responsibilities, Board members contribute significantly to the Baldrige National Quality Program through outreach and educational activities by serving as representatives for the Program.

Number to be Selected

Approximately 540 Examiners will be selected to serve for the 2004 Award cycle.

Examiner Eligibility

Examiners must be citizens or permanent residents of the United States and be located in the United States or its territories. No applicant shall be denied consideration or appointment as an Examiner on the grounds of race, creed, color, national origin, age, sex, or disability.

Terms and Conditions of Appointment

(1) Completion of Application

Prospective Board members must submit two references along with the 2004 Examiner Application electronically submitted by or postmarked no later than January 5, 2004. Reference letters must accompany the Examiner Application. *Applicants who have previously served on the Board of Examiners should not submit recommendations.*

Applicants will be sent letters informing them of their status in the Program by March 26, 2004. If a candidate finds, after submitting an application, that he/she would be unable to accept an appointment if offered, he/she should immediately withdraw the application by notifying the Baldrige National Quality Program Office at 301-975-2410 or 301-975-8950.

(2) Code of Ethical Standards

Board members are expected to carry out their duties and responsibilities in the Award Program in accordance with the Code of Ethical Standards. (See page 6.)

(3) Disclosure of Conflict of Interest

Those selected to serve on the Board must provide information regarding conflicts of interest. Disclosure includes, but is not limited to, employers, financial interests, and client relationships. Such information will be used only for purposes of Board assignments and otherwise will be kept confidential.

(4) **Term of Appointment**

The term of appointment to the Board is approximately one year. This period extends from the date the Examiner Preparation Course is completed through the Award ceremony.

(5) **Time Commitment**

Applicants for the Board should give careful consideration to the time commitment required to meet the Award review schedule.

A minimum of ten days is required from May to December. All Examiners are expected to complete a Stage 1, Independent Review, which involves an average effort of 40 hours per Award application (from early June to mid-July). In addition, Examiners are expected to participate in Stage 2, Consensus Review, which calls for approximately 25 hours (from mid-August to mid-September) and/or Stage 3, Site Visit Review, requiring preparation time plus 5-7 days on site (from mid-September through October), if requested by the Program. Examiners must assume these Award cycle responsibilities if at all possible and should plan accordingly.

To ensure that Examiners understand these time commitments, applicants are asked to sign below.

I have read and understand the time requirement of the Award cycle for members of the Board of Examiners.

C*	
Signature	
515114141	

Board of Examiners—Conditions of Involvement (continued)

Examiner selectees should set aside time on their calendars for the key critical dates or time frames shown on the timeline below.

May 3- or 4-Day	Examiner Preparation Courses
June-August	Stage 1, Independent Review
August-September	Stage 2, Consensus Review
August 11, 18	Consensus Planning Calls
September 2-8	Consensus Calls
October-November	Stage 3, Site Visit Review
October 17-30	Site Visits

Evaluation of Award applications and consensus review may be conducted at the Examiner's work location or home. Business is conducted by overnight mail and secured telephone and fax. Travel is necessary only for training and site visits.

(6) **Preparation Courses**

Participation by Board members in Examiner preparation courses is critical to the success of the Program because these courses include a detailed review of the Criteria for Performance Excellence, the evaluation process, the scoring system, consensus development, site visit requirements, and the Code of Ethical Standards. All Board members must attend one of the three-day preparation classes and must complete a 20- to 40-hour case study evaluation prior to attending the class. Selectees who cannot attend one of the scheduled classes will not serve as Examiners.

(7) Adherence to Award Processes

Board members are expected to meet all requirements associated with a fair and competent evaluation. This includes using the Criteria for Performance Excellence and corresponding scoring system, adhering to the evaluation processes, meeting site visit requirements, and avoiding conflicts of interest. Thorough documentation and written communication are essential parts of the overall review process.

(8) Assignments of Board Members

The Program seeks to provide the fairest, most competent evaluation of each Award application. Accordingly, Board members are assigned to applications based on their knowledge and experience, consistent with the requirements to avoid conflicts of interest, to apportion the application load equitably, and to adhere to agreedupon schedules. Overall participation of Board members varies, depending upon the results of the Stage 1 evaluations. All Board members participate in the Stage 1, Independent Review, a time commitment of 30-40 hours per application. Fifty to seventy percent of the Board members participate in the Stage 2, Consensus Review, a time commitment of approximately 25 hours. In the Site Visit Review stage, 35-55 percent of the Board members participate—their duties requiring at least a 7-10 day time commitment. Some Examiners also prepare final scorebooks that are the foundations for the applicant feedback reports after one of the process review stages.

Estimated Time Required		
Stage	Activity	Estimated Time
Consensus	Planning Call	1-2 hours
	Preparation includes reading materials and preparing documents.	10-12 hours
	2 Consensus Calls	4-5 hours each
	Post-Call Wrap-Up	1-3 hours
Site Visit	Preparation includes reading materials and	1 2 1
	preparing documents.	1-2 days
	Conference Calls	2-8 hours
	On-Site/Hotel Work	5-7 days; each day requires approximately 12-18 hours of work

(9) Compensation and Reimbursement

Since the Award application review receives no federal funding and application fees are kept to a minimum, the Program needs to operate with maximum voluntary support. In 2004, the Program will reimburse Examiner travel and expenses when requests are made and approved in advance. For the Examiner Preparation Course, airfare costs will be reimbursed at the advance purchase price for coach fare, to a maximum amount of \$500.00. Other reimbursable expenses, including transportation and meals, will be reimbursed to a maximum amount of \$100.00 (Most meals are provided by the Program during training.) For Award-related travel, Examiners are reimbursed in accordance with federal travel regulations. Examiner applicants who are selected for the Board and require reimbursement to participate in the Program will be required to justify the need for reimbursement in advance. Request for reimbursement is not a factor in selection.

Declaration of Principles

Members of the Malcolm Baldrige National Quality Award Board of Examiners pledge to uphold their professional principles in the fulfillment of their responsibilities as defined in the administration of Public Law 100-107, the Malcolm Baldrige National Quality Improvement Act of 1987, which establishes the Malcolm Baldrige National Quality Award.

In promoting high standards of public service and ethical conduct, Board members:

- Shall conduct themselves professionally, with truth, accuracy, fairness, and responsibility to the public;
- Shall not represent conflicting or competing interests, nor place themselves in such a position where the Board member's interest may be in conflict, or appear to be in conflict, with the purposes and administration of the Award;
- Shall safeguard the confidences of all parties involved in the judging or examination of present or former applicants;
- Shall not offer confidential information or disclosures which may in any way influence the Award integrity or process, currently or in the future;
- Shall not serve any private or special interest in fulfillment of the duties of a Judge or Examiner, therefore excluding, by definition, the examination of any organization or subunit of an organization by which he/she is employed or from which a consulting arrangement is in effect or anticipated;

- Shall not serve as an Examiner of a primary competitor, customer, or supplier of any organization or subunit of an organization of which he/she is an employee, has a financial interest or is involved in, or anticipates a consulting arrangement;
- Shall not intentionally communicate false or misleading information which may compromise the integrity of the Award process or decisions therein;
- Shall never approach an organization they have evaluated for their personal gain, including the establishment of an employment or consulting relationship; and
- If approached by an organization they have evaluated, shall not accept employment from that organization for a period of five years after the evaluation.

Furthermore, it is pledged that as a member in good standing of the Malcolm Baldrige National Quality Award Board of Examiners, each Board member shall strive to enhance and advance the Malcolm Baldrige National Quality Award as it serves to stimulate American companies and organizations to improve quality, productivity, and overall performance.

PERSONAL INFORMATION

Application for the Malcolm Baldrige National Quality Award (MBNQA) Board of Examiners

You should use this form only if you wish to complete your application and submit it on paper. If you wish to submit your application online, you can access the online application from our Web site, www.baldrige.nist.gov

If you have *never before applied*, you must complete all sections of the application.

Personal Information
Criteria Category Expertise
Examiner Skills
Employment History
Education and Training
How Did You Hear About the Board of Examiners?
Baldrige or Related Assessment Experience
Outreach Activities
Self-Rankings
Disclosure of Conflict of Interest
Examiner Preparation Course Schedule
Recommendations

If you applied previously but have never served on the Board of Examiners, you must complete the following sections of the application.

Personal Information
Criteria Category Expertise
Examiner Skills
Employment History (add updates only)
Education and Training
How Did You Hear About the Board of Examiners?
Baldrige or Related Assessment Experience
Outreach Activities
Self-Rankings
Disclosure of Conflict of Interest
Examiner Preparation Course Schedule
Recommendations

Applicants who have not served on the Board of Examiners must submit the two required recommendation forms as part

of their completed application package. The completed recommendation forms and four copies should be returned to the applicant in a sealed envelope (with the signature of the recommendation provider across the seal) for inclusion in the application package. The completed forms must be included with the application package that is mailed to the Baldrige National Quality Program Office:

National Institute of Standards and Technology Baldrige National Quality Program Administration Building, Room A600 100 Bureau Drive, Stop 1020 Gaithersburg, MD 20899-1020

If you have served previously at any time on the Board of Examiners, you need only update the following forms. Recommendations are not required for your application.

Personal Information
Update to Employment Information
Outreach Activities
Self-Rankings
Disclosure of Conflict of Interest
Examiner Preparation Course Schedule

Use a proportional spacing font of point size 10 or larger, or a fixed pitch font of 12 or fewer characters per inch.

Any type style may be used. Applicants may submit an application produced with a word processor if (1) the application pages contain the identical information requested on this form, (2) the information is presented in the same order, and (3) the layout and space allocation is similar to this form. Applications produced with a word processor must meet these requirements.

Your complete application package must be postmarked no later than January 5, 2004. The application package must include one original typed application, four copies of the application form, and two envelopes containing the completed recommendation forms with copies. Two-sided copies are preferred. Fax copies are not acceptable.

Examiner applicant selection letters will be mailed by March 26, 2004.

PERSONAL INFORMATION (continued)

PLEASE TYPE THE APPLICATION.

		Title: Mr. 🗖	Ms. \square Mrs. \square Dr. \square
Last Name	First Name	M.I.	
Employer:			
Work Address:		Date of Birth: (Optional)	
Street		Place of Birth:	
City, State, Zip		Citizenship:	
Home Address:		Permanent Resident Visa	: Yes No
Street			
			ss:
, , , ,			
		(Preferred address cannot	include a P.O. Box number
Please indicate your preferred	phone and fax numbers by placing an "x"	`	include a P.O. Box numbe
	phone and fax numbers by placing an "x' Home Phone:	in the boxes provided.	include a P.O. Box numbe
Work Phone:		in the boxes provided. Preferred Phone:	
Work Phone:	Home Phone: Home Fax:	in the boxes provided. Preferred Phone:	☐ Work ☐ Home
Work Phone: Work Fax: E-mail Address:	Home Phone: Home Fax:	in the boxes provided. Preferred Phone: Preferred Fax:	☐ Work ☐ Home ☐ Work ☐ Home
Work Phone: Work Fax: E-mail Address: List up to five NAICS Codes n	Home Phone: Home Fax:	in the boxes provided. Preferred Phone: Preferred Fax:	☐ Work ☐ Home ☐ Work ☐ Home (See next to last page.)
Work Phone: Work Fax: E-mail Address: List up to five NAICS Codes n If you have been an MBNQA I	Home Phone: Home Fax: nost relevant to your expertise: Examiner previously, list the year(s) you	rin the boxes provided. Preferred Phone: Preferred Fax: nave served: provided. preferred Phone: preferred Phone: preferred Fax:	☐ Work ☐ Home ☐ Work ☐ Home (See next to last page.)
Work Phone: Work Fax: E-mail Address: List up to five NAICS Codes n If you have been an MBNQA I	Home Phone: Home Fax: nost relevant to your expertise:,	rin the boxes provided. Preferred Phone: Preferred Fax: nave served: provided. preferred Phone: preferred Phone: preferred Fax:	☐ Work ☐ Home ☐ Work ☐ Home (See next to last page.)
Work Phone: Work Fax: E-mail Address: List up to five NAICS Codes n If you have been an MBNQA I	Home Phone: Home Fax: nost relevant to your expertise: Examiner previously, list the year(s) you	rin the boxes provided. Preferred Phone: Preferred Fax: nave served: provided. preferred Phone: preferred Phone: preferred Fax:	☐ Work ☐ Home ☐ Work ☐ Home (See next to last page.)
Work Phone: Work Fax: E-mail Address: List up to five NAICS Codes n If you have been an MBNQA I	Home Phone: Home Fax: nost relevant to your expertise: Examiner previously, list the year(s) you list the award programs as a potential examiner.	rin the boxes provided. Preferred Phone: Preferred Fax: nave served: provided. preferred Phone: preferred Phone: preferred Fax:	□ Work □ Home □ Work □ Home □ (See next to last page.) □ '. involved

CRITERIA CATEGORY EXPERTISE

Describe the work experience you have had that would qualify you, <u>from the perspective of an Award applicant</u>, to evaluate an organization in each of the Criteria Categories. Please refer to actual on-the-job experience only. Please <u>do not</u> use experience evaluating other organizations within a state or internal quality award program. Refer to "Selection Factors" for more information. (You are neither expected nor required to possess substantial expertise in <u>all</u> of the Criteria Categories.)

Note: Include no more than six lines of text under each heading. LEADERSHIP
STRATEGIC PLANNING
CUSTOMER AND MARKET FOCUS
MEASUREMENT, ANALYSIS, AND KNOWLEDGE MANAGEMENT
HUMAN RESOURCE FOCUS
PROCESS MANAGEMENT
BUSINESS RESULTS

EXAMINER SKILLS

It is beneficial for Examiners to possess some or all of the following skills. Refer to "Selection Factors" on page 3 for more information.

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ANALYTICAL SKILLS

Note: Include no more than six lines of text under each heading.

The ability to "analytically" evaluate an Award applicant is an important skill. Please describe the experience you have with the analysis of information and/or data.

COMMUNICATION SKILLS

The ability to convey your thoughts orally and in writing in a clear and concise manner is a valuable skill for participation in the evaluation process. It is also an important skill to help promote and represent the Baldrige National Quality Program. Please describe your accomplishments in both oral and written communication by citing specific examples of your most recent and/or relevant experience. Please include the titles of your oral communications and the audiences to whom you presented (internal and external to your organization). Please include publications, articles, business reports, and analytical writing citations.

TEAM MEMBER SKILLS

The Baldrige Award assessment is a team-based process, especially at the consensus and site visit stages. In addition, Examiner training emphasizes the necessity for team skills. Please provide a brief description of your experience on teams and why you are an effective team member.

LEADERSHIP SKILLS

Examiners assume many leadership roles throughout the Award process. Please describe the accomplishments you have achieved in the leadership area and with leading teams, if different from that mentioned in previous responses.

EMPLOYMENT HISTORY

Describe in reverse chronological order (beginning with your most recent position) your last 15 years of employment—or your last five positions if they cover a longer period. (The list of NAICS Codes is provided on the next-to-last page in the PDF version.)

Employer:		Number of Employees:
Parent Company:		- ·
Organization's NAICS Codes:		- ·
Dates of Service (mm/yyyy):		
Check here if you are eligible to receive a compa		
	ny-runded pension based on your	r employment with this company.
Organization Type (Please check all that apply.):		
small business (less than 500 employees)	health care	arly childhood-secondary education
service	government	higher education
manufacturing	independent consultant	other education
☐ not-for-profit	Other type:	
Iob Title:		_ Number of employees supervised:
Employer:		Number of Employees:
Parent Company:		
Organization's NAICS Codes:		• •
Dates of Service (mm/yyyy):		•
Check here if you are eligible to receive a compa		
	ny-tunded pension based on you	i employment with this company.
Organization Type (Please check all that apply.):		
small business (less than 500 employees)	health care	☐ early childhood-secondary education
service	government	higher education
manufacturing	independent consultant	other education
☐ not-for-profit	Other type:	
Job Title:		_ Number of employees supervised:
	•	
Employer:		Number of Employees:
Parent Company:		Number of Employees:
Organization's NAICS Codes:		
Dates of Service (mm/yyyy):		•
Check here if you are eligible to receive a compa		
Organization Type (Please check all that apply.):	, 1	r
small business (less than 500 employees)	health care	arly childhood-secondary education
small business (less than 500 employees) service	government	☐ higher education
☐ manufacturing	independent consultant	other education
2	*	
☐ not-for-profit	☐ Other type:	
Job Title:		Number of employees supervised:
Organizational Unit:	Supervisor:	· · · ·

EMPLOYMENT HISTORY (continued)

Employer:			
		Number of En	nployees:
Parent Company:		Number of En	nployees:
Organization's NAICS Codes:		City/State:	
Dates of Service (mm/yyyy):	to	Full-time 🗖	Part-time 🗆 Retired 🗅
Check here if you are eligible to receive a compa	any-funded pension based on your e	employment with th	is company. \square
Organization Type (Please check all that apply.):	:		
☐ small business (less than 500 employees)	☐ health care	aearly chil	dhood-secondary education
service	government	higher ed	lucation
☐ manufacturing	independent consultant	other edu	acation
☐ not-for-profit	Other type:		
Job Title:		Number of employe	ees supervised:
Organizational Unit:			-
F		N1	1
Employer:			nployees:
Parent Company:			mployees:
Organization's NAICS Codes:		•	
Dates of Service (mm/yyyy):			Part-time 🗆 Retired 🗅
Check here if you are eligible to receive a compa	any-funded pension based on your o	employment with the	is company. 🖵
Organization Type (Please check all that apply.)			
☐ small business (less than 500 employees)	health care	•	dhood-secondary education
service	☐ government	higher ed	
manufacturing	independent consultant	🗖 other edi	acation
☐ not-for-profit	Other type:		
Job Title:		Number of employe	ees supervised:
Organizational Unit:			•
DUCATION AND TRAINING Formal Education: Institution (Name, City, State)	De	egree/Certificate	Dates Attended

HOW DID YOU HEAR ABOUT THE MBNQA BOARD OF EXAMINERS?

Please tell us if any of the follow be as specific as possible.	ing had an influence on your decision to apply to become an Examiner. Check all that apply and	
☐ Publication Announcement	Specify Publication: (ABA Banking Journal, Chronicle of Philanthropy, Diversity/Careers, Education Week, Fortune, Industry Week, Lodging, The NonProfit Times, Quality Progress)	
☐ Association Newsletter	Specify Association:	
	?)	
☐ Other BNQP Materials S	Specify Publication:	
☐ Word of Mouth ☐ MBNQA Examiner ☐ MBNQA Judge ☐ Co-worker/Supervisor ☐ Other	☐ State/Local Program Examiner ☐ State/Local Judge	
☐ Organization or Company	Organization Name:	
☐ Involvement in a State or Lo	cal Award Program Program Name:	
☐ Web site ☐ National Institute of Stan ☐ Baldrige National Quality ☐ American Society for Qua ☐ Other site (Please specify.	y Program	
Other (Please specify.)		
☐ Have you ever applied to be a	un MBNQA Examiner before? If so, please list the years:,,,	
Although not a requirement, it is	FED ASSESSMENT EXPERIENCE Shelpful for Examiners to have previous Baldrige-like assessment experience. Please describe the	
award programs. You may also in make sure you include length of	ng or preparing applications for internal, state or local, international, association, or other organizational clude experience with internal or supplier assessment processes based on the Baldrige Criteria. Please service with each program mentioned. Also include any experience you have in the following roles: addge, Overseer, Final Feedback Writer/Editor, Application Author, Program Director, and	
Program Name	Role Number of Years	

OUTREACH ACTIVITIES

Please list professional and other organizations with which you are aff	filiated, and indicate the nature of your affiliation. Also indicate how
you have assisted these organizations with outreach activities. This as on behalf of these groups.	sistance may include presentations or articles you have completed
Organization	Role
Organization	Kule
CELE DANIZINICO	
SELF-RANKINGS	
Please rank from 1 to 7 your ability to evaluate applications in the foll 1 = best (Use no number more than once.)	lowing areas:
Manufacturing Business	
Service Business	
Small Business - Manufacturing (not more than 500 employees)	
Small Business – Service (not more than 500 employees)	
Health Care Organization	
Early Childhood Through Secondary Education	
Post-Secondary Education	
Please rank from 1 to 7 your ability to evaluate applications in the foll 1 = best (Use no number more than once.)	lowing Categories:
Leadership	
Strategic Planning	
Customer and Market Focus	
Measurement, Analysis and Knowledge Management	
Human Resource Focus	
Process Management	
Business Results	
Please rank from 1 to 7 your level of knowledge or skill in the following 1 = best (Use no number more than once.)	ng areas:
Expertise in the management of business, education, or health c	are
Expertise in the analysis of results in business, education, or heal	lth care
Knowledge of practices and improvement strategies leading to p	performance excellence
Written skills	
Leadership skills	
Interpersonal skills	
Education or training skills	

DISCLOSURE OF CONFLICT OF INTEREST

Members of the Malcolm Baldrige National Quality Award Board of Examiners shall voluntarily disclose to the Administrators of the Award the identity of employers, competitors, key customers, key suppliers, and clients, past, present, or potential, whose interest might be favorably or unfavorably affected by the actions the Examiner will undertake while acting as a member of the Board of Examiners. This includes disclosure of:

- Companies in which Board members have financial holdings
- Affiliations which may present or seem to present a conflict of interest for the Board member

If selected to be a member of the Board of Examiners, signed statements will be requested before attendance at one of the Examiner Preparation Courses. Any material misstatement of fact in this application or incomplete disclosure of conflicts of interest shall be grounds for disqualification from the review process or dismissal from the Board of Examiners. Additionally, Examiners agree to update their employment, financial, and client records periodically throughout their appointment.

In applying for a position on the Malcolm Baldrige National Quality Aware in this application and agree to abide by the Code of Ethical Standards.	d Board of Examiners, I attest to the accuracy of the information
Signature of Applicant <i>Please sign in blue ink.</i>	Date

RECOMMENDATIONS

Applicants who have not served on the Board of Examiners are required to submit two completed recommendation forms. If possible, one recommendation should be from someone within your organization; the second should be from someone outside of it. Members of the 2003 Panel of Judges may not provide recommendations. **Applicants are responsible for ensuring that the recommendations are submitted (and properly sealed) with the application package and for having the application package postmarked no later than January 5, 2004**. Recommendation letters and/or application forms sent by fax are not acceptable.

EXAMINER PREPARATION COURSE SCHEDULE

All members of the 2004 Board of Examiners must attend training in Gaithersburg, Maryland. New Examiners must attend a 1-day orientation class. The orientation will be held each Tuesday prior to the 3-day Examiner Preparation course. Senior Examiners must attend the 1-day Senior Examiner training course to be eligible to serve as Seniors in the 2004 Award cycle. The Senior Examiner Course will also be held each Tuesday prior to the 3-day course. All Examiners, regardless of their years of service on the Board, must attend one of the 3-day Examiner Preparation courses. The training dates are shown below with 4-day sessions for new and Senior Examiners and 3-day sessions for returning Examiners. Please note these training dates on your calendar. Your letter of selection will be mailed on March 26, 2004, to the address given on your application. (For timely delivery of the selection letter, please notify us of any address change.) Upon receipt, you may choose your preferred training dates with the understanding that classes will be filled on a first-come, first served basis. Although there is a maximum number of Examiners we can train each week, we will make every effort to honor your first-choice dates.

Examiner Preparation Course Schedule				
Examiner Preparation Courses 3-day sessions for All Examiners	Senior Preparation, New Examiner Orientation Courses 4-day sessions for Senior and New Examiners			
May 5-7	May 4-7			
May 12-14	May 11-14			
May 19-21	May 18-21			
May 26-28	May 25-28			

RECOMMENDATION FORM

(Recommendations are not required for	er former mei	mbers of the I	Board of Exai	niners.)		
		has a	applied to be a	member of the	e 2004 Board o	f
Examiners for the Malcolm Baldrige Natio	Examiners for the Malcolm Baldrige National Quality Award and has indicated you would provide a recommendation.					
The role of the Examiners is to evaluate ap Performance Excellence. Examiners review that are the basis for feedback reports to ap Examiners are required to have expertise in knowledge of practices and improvement stand oral communication skills; and work as recognition.	y, write an analyplicants. They business, eductrategies leadin	ysis of, and sco also participat cation, or healt g to performa	re written appl e in consensus h care manage: nce excellence;	ications and pr evaluations and ment processes possess and us	repare the final d site visits. In s, and results; h e good analytic	scorebooks doing so, have cal, writing,
Please provide a recommendation relating trecommendation on paper, fill out the expreferred) with your signature across the enough to ensure that the completed ap a postmark no later than January 5, 2004 package that is mailed to the Baldrige N forms separately if the application is sub	ntire form and e seal of the e plication can l l. (The compl ational Qualit mitted electro	l return the on the one of the submitted eted recomments of the program Of the onically.) Pleas	riginal form and an irn your record to the Baldrige endation form fice by the apparent fice b	nd four copies mmendation for e National Qu s must be incl plicant, or the opies are not	two-sided come to the apparate of the apparate of the apparate of the applicant manacceptable.	opies are plicant early n Office with application y mail the
Using as a basis for comparison your peer grocategories, which are drawn from the Baldrig					-	ing
	Don't Know	Lower 50%	Upper 50%	Upper 25%	Upper 10%	Upper 1%
1. Leadership						
2. Strategic Planning						
3. Customer and Market Focus						
4. Information and Analysis						
5. Human Resource Focus						
6. Process Management						
7. Business Results						
Using as a basis for comparison your peer groan "x" in the appropriate column.	oup (professional	l colleagues), ple	ease rank the app	licant's ability in	n the following a	reas. Place
	Don't Know	Lower 50%	Upper 50%	Upper 25%	Upper 10%	Upper 1%
1. Expertise in the management of business,						
education, or health care						
Expertise in the analysis of results in business, education, or health care						
Knowledge of practices and improvement strategies leading to performance excellence	_					
4. Written skills						
5. Leadership skills						
6. Interpersonal skills						
7. Education or training skills						

RECOMMENDATION FORM (continued)

Name of Applicant:		Applicant Phone No.:			
Name of Recommendatio	on Provider:	Provider Phone No.:			
Title:					
Employer:		<u> </u>			
Please evaluate the applic	cant's qualifications to be an Examine	:.			
Upon the applicant's request, the Baldrige National Quality Program Office will make this reference available to the applicant.					
Recommendation		Date			
Provider Signature	Please sign in blue ink.	Date			

RECOMMENDATION FORM

(Recommendations are not required for former members of the Board of Examiners.) has applied to be a member of the 2004 Board of Examiners for the Malcolm Baldrige National Quality Award and has indicated you would provide a recommendation. The role of the Examiners is to evaluate applicants for the Malcolm Baldrige National Quality Award based on the Criteria for Performance Excellence. Examiners review, write an analysis of, and score written applications and prepare the final scorebooks that are the basis for feedback reports to applicants. They also participate in consensus evaluations and site visits. In doing so, Examiners are required to have expertise in business, education, or health care management processes, and results; have knowledge of practices and improvement strategies leading to performance excellence; possess and use good analytical, writing, and oral communication skills; and work as team members. Examiners must meet the highest standards of qualification and peer recognition. Please provide a recommendation relating to your knowledge of the applicant's qualifications to be an Examiner. To submit the recommendation on paper, fill out the entire form and return the original form and four copies (two-sided copies are preferred) with your signature across the seal of the envelope. Return your recommendation form to the applicant early enough to ensure that the completed application can be submitted to the Baldrige National Quality Program Office with a postmark no later than January 5, 2004. (The completed recommendation forms must be included with the application package that is mailed to the Baldrige National Quality Program Office by the applicant, or the applicant may mail the forms separately if the application is submitted electronically.) Please note: Fax copies are not acceptable. Using as a basis for comparison your peer group (professional colleagues), please rank the applicant's knowledge in the following categories, which are drawn from the Baldrige Criteria for Performance Excellence. Place an "x" in the appropriate column. Don't Know Lower 50% Upper 25% Upper 50% Upper 10% Upper 1% 1. Leadership 2. Strategic Planning 3. Customer and Market Focus 4. Information and Analysis 5. Human Resource Focus 6. Process Management 7. Business Results Using as a basis for comparison your peer group (professional colleagues), please rank the applicant's ability in the following areas. Place an "x" in the appropriate column. Lower 50% Upper 50% Upper 10% Don't Know Upper 25% Upper 1% 1. Expertise in the management of business, education, or health care 2. Expertise in the analysis of results in business, education, or health care 3. Knowledge of practices and improvement strategies leading to performance excellence 4. Written skills 5. Leadership skills 6. Interpersonal skills 7. Education or training skills

RECOMMENDATION FORM (continued)

Name of Applicant:		Applicant Phone No.:			
Name of Recommendation Provider: Title:					
Please evaluate the applican	nt's qualifications to be an Examin	ner.			
Upon the applicant's request, the Baldrige National Quality Program Office will make this reference available to the applicant.					
Recommendation Provider Signature	Please sign in blue ink.	Date			

North American Industrial Classification System (NAICS) Codes

Please insert NAICS Codes most relevant to your area(s) of expertise on Application Form—1 and in the experience blocks of Application Form—4. If you wish to access the NAICS codes on-line, connect to www.census.gov, select "subjects A to Z," select "NAICS" (North American Industry Classification System).

111 Corp Production 112 Aminal Production 113 Forestry and Logging 114 Oil and Gas Ferraction 115 Support Arivities for Agriculture and Forestry 110 Oil and Gas Ferraction 112 Mining (except Oil and Gas) 113 Support Arivities for Mining 114 Utilities 115 Support Arivities for Mining 115 Support Arivities for Mining 116 Utilities 117 Support Arivities for Mining 117 Contracting 118 Heavy Construction 119 Food Manufacturing 119 Heavy Construction 110 Food Manufacturing 110 Reverge; and I Obsector Product 111 Food Manufacturing 111 Food Manufacturing 112 Perverge; and I Obsector Product 113 Support And Mass (Stores 114 Separation 115 Food Manufacturing 116 Leather and Allied Product Manufacturing 117 Support Manufacturing 118 Support Manufacturing 119 Forest Service 110 Support Activities of Plansportation 119 Food Manufacturing 119 Forest Manufacturing 110 Aminimal Machinery Manufacturing 111 Food Manufacturing 111 Food Manufacturing 111 Food Manufacturing 112 Perverge and I Obsector Product 113 Support Manufacturing 114 Heavy Construction 115 Food Manufacturing 115 Food Manufacturing 116 Leather and Allied Product Manufacturing 117 Product Manufacturing 118 Food Manufacturing 119 Food Manufacturing 119 Forest Manufacturing 110 Appared Manufacturing 110 Appared Manufacturing 111 Food Manufacturing 112 Perverge and I Obsector Product 112 Manufacturing 113 Product Manufacturing 114 Food Manufacturing 115 Food Manufacturing 116 Leather and Allied Product Manufacturing 117 Food Manufacturing 118 Food Manufacturing 119 Food Manufacturing 119 Food Manufacturing 110 Food Manufacturing 110 Food Manufacturing 111 Food Manufacturing 111 Food Manufacturing 112 Perversion Manufacturing 113 Food Manufacturing 114 Food Manufacturing 115 Food Manufacturing 116 Leather and Allied Product Manufacturing 117 Food Manufacturing 118 Food Manufacturing 119 Food Manufacturing 119 Food Manufacturing 110 Food Manufacturing 110 Food Manufacturing 111 Food Manufacturing 111 Food Manufacturing 111 Food Manufacturing 111 Food Manufacturing 1	Code	Sector	Code	Sector	Code	Sector
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315 Apparel Manufacturing 316 Leather and Allied Product Manufacturing 317 Wood Product Manufacturing 318 Paper Manufacturing 319 Postal Service 320 Paper Manufacturing 321 Peroleum and Coal Products Manufacturing 322 Paper Manufacturing 323 Peroleum and Coal Products Manufacturing 324 Peroleum and Coal Products Manufacturing 325 Chemical Manufacturing 326 Plastics and Rubber Products Manufacturing 327 Nonnetallic Mineral Product Manufacturing 338 Pabricated Metal Product Manufacturing 339 Primary Metal Manufacturing 330 Primary Metal Manufacturing 331 Primary Metal Product Manufacturing 332 Pabricated Metal Product Manufacturing 333 Machinery Manufacturing 334 Computer and Electronic Product Manufacturing 335 Electrical Equipment, Appliance and Component Manufacturing 336 Transportation Equipment Manufacturing 337 Furniture and Related Product Manufacturing 338 Electrical Equipment Manufacturing 339 Miscellaneous Manufacturing 340 Miscellaneous Manufacturing 341 Wholesale Electronic Markets and Agents and Brokers 342 Wholesale Erade, Nondurable Goods 343 Wholesale Electronic Markets and Agents and Brokers 344 Building Material and Garden Equipment and Supplies Stores 345 Cemics and Massengers 346 Perroleum and Component Manufacturing 347 Primary Metal Manufacturing 348 Computer and Electronic Product Manufacturing 349 Vonetale Annufacturing 340 Monetary Authorities-Central Bank Computer and Electronic Product Manufacturing 340 Electrical Equipment Manufacturing 341 Computer and Electronic Product Manufacturing 342 Electrical Equipment Manufacturing 343 Computer and Electronic Product Manufacturing 344 Electronic Services 345 Electronic Services 346 Electronic Services 347 Furniture and Related Product Manufacturing 348 Computer and Electronic Product Manufacturing 349 Electronic Services 340 Other Financial Vehicles (U.S. Organizations) 341 Furniture and Related Product Manufacturing 342 Electronic Services 343 Electronic Services 344 Moninistration of Environmental Computer Services 345 Electronic Services 34						
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Baldrige National Quality Program

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